Primary Decomposition of Symmetric Ideals

by

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Abstract. We propose an effective method for primary decomposition of symmetric ideals. Let $K[X] = K[x_1, ..., x_n]$ be the *n*-valuables polynomial ring over a field *K* and \mathfrak{S}_n the symmetric group of order *n*. We consider the canonical action of \mathfrak{S}_n on K[X] i.e. $\sigma(f(x_1, ..., x_n)) = f(x_{\sigma(1)}, ..., x_{\sigma(n)})$ for $\sigma \in \mathfrak{S}_n$. For an ideal *I* of K[X], *I* is called *symmetric* if $\sigma(I) = I$ for any $\sigma \in \mathfrak{S}_n$. For a minimal primary decomposition $I = Q_1 \cap \cdots \cap Q_r$ of a symmetric ideal $I, \sigma(I) = \sigma(Q_1) \cap \cdots \cap \sigma(Q_r)$ is a minimal primary decomposition of *I* for any $\sigma \in \mathfrak{S}_n$. We utilize this property to compute a full primary decomposition of *I* efficiently from partial primary components. We investigate the effectiveness of our algorithm by implementing it in the computer algebra system Risa/Asir.

1. Introduction

Algebraic structures with symmetry are often treated in mathematics. For example, symmetric polynomials and ideals generated by them appear in invariant theory and Galois theory. For analyzing the structure of ideals, primary decompositions are well-known as one of the useful tools. In this paper, we prove good properties of primary decompositions of symmetric ideals and propose an effective algorithm for primary decompositions of those ideals.

For a proper ideal I of $K[x_1, ..., x_n]$, a primary decomposition of I is a set of primary ideals $\{Q_1, ..., Q_k\}$ such that $I = Q_1 \cap \cdots \cap Q_k$. Several algorithms for primary decomposition have been studied in [2, 3, 5, 7] and the algorithms are mainly based on Gröbner basis computations. However, Gröbner bases are incompatible with the symmetry in general, thus a specialized algorithm utilizing the symmetry can be effective for symmetric ideals.

For a polynomial $f(x_1, \ldots, x_n)$ over a filed K, f is called symmetric if $f(x_1, \ldots, x_n) = f(x_{\sigma(1)}, \ldots, x_{\sigma(n)})$ for any permutation σ over $\{1, 2, \ldots, n\}$. For example, $f(x_1, x_2) = x_1^2 + x_1x_2 + x_2^2$ is a symmetric polynomial. The factorization of a symmetric polynomial has also a symmetric structure. For a factorization $f = g_1^{e_1} \cdots g_k^{e_k}$ of a symmetric polynomial f with irreducible polynomials g_i , $f = \sigma(g_1)^{e_1} \cdots \sigma(g_k)^{e_k}$ is also a factorization of f for any permutation σ over $\{1, 2, \ldots, n\}$. In other words, the symmetric group \mathfrak{S}_n of degree n acts on $\{g_1, \ldots, g_k\}$. Hence, we can define the equivalent classes C_1, \ldots, C_l of $\{g_1, \ldots, g_k\}$

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with respect to the equivalent relation \sim where $g_i \sim g_j$ if and only if $\sigma(g_i) = g_j$ for some $\sigma \in \mathfrak{S}_n$. In each class C_i , we can compute other factors of C_i from one factor of C_i i.e. $C_i = \{\sigma(g_i) \mid \sigma \in \mathfrak{S}_n\}$ for $g_i \in C_i$. Therefore, we can reduce the computation for the factorization by the group action.

We consider such symmetric structures in the ideals as well. For an ideal of K $[x_1, \ldots, x_n]$, I is called symmetric if $\sigma(I) = I$ for any permutation σ over $\{1, 2, \ldots, n\}$. For a minimal primary decomposition $\mathcal{Q} = \{Q_1, \ldots, Q_k\}$ of $I, \sigma(\mathcal{Q}) = \{\sigma(Q_1), \ldots, Q_k\}$ $\sigma(Q_k)$ is also a minimal primary decomposition of I. However, \mathfrak{S}_n does not always act on $\mathcal{Q} = \{Q_1, \ldots, Q_k\}$ in general since primary decompositions of I are not necessarily unique. In order to solve this problem, we introduce a notion of "the quotient set of primary components of I" which is uniquely determined from I. For the quotient set of primary components $Q[I] = \{Q_{P_1}[I], \dots, Q_{P_k}[I]\}$ of *I*, we can define the equivalent classes C_1, \ldots, C_l of $\mathcal{Q}[I]$ with respect to the equivalent relation ~ where $\mathcal{Q}_{P_i}[I] \sim \mathcal{Q}_{P_i}[I]$ if and only if $\sigma(\mathcal{Q}_{P_i}[I]) = \mathcal{Q}_{P_i}[I]$ for some $\sigma \in \mathfrak{S}_n$. In each class C_i , we can compute other classes of primary components in C_i from one class of a primary component in C_i . We say that $\{C_1, \ldots, C_l\}$ is the orbit decomposition of I. As in the case of symmetric polynomials, we can reduce the computation for the primary decomposition. For practical computations, we also consider other symmetric properties of the quotient set of primary components. We implemented the algorithm in the computer algebra system Risa/Asir. In a naive computer experiment, we examine its effectiveness in several examples.

This paper is organized as follows. In Section 2, we recall some fundamental notions and definitions for symmetric ideals and primary decompositions. In Section 3, we introduce the quotient set of primary components of a symmetric ideal for effective primary decompositions. In Section 4, we provide some improvements for symmetric primary decompositions toward practical algorithms. In Section 5, we implement our algorithm in the computer algebra system Risa/Asir [6] and examine the effectiveness of our algorithm in several examples. In Section 6, we summarize the conclusion and discuss future works.

2. Mathematical Basis

We let $X = \{x_1, \ldots, x_n\}$ be a set of *n*-valuables and K[X] the polynomial ring over a field *K*. Also, let \mathfrak{S}_n be the symmetric group of degree *n* and $\phi : \mathfrak{S}_n \times K[X] \to K[X]$ the canonical action of \mathfrak{S}_n on K[X] such that $\sigma(f(x_1, \ldots, x_n)) = f(x_{\sigma(1)}, \ldots, \sigma_{\sigma(n)})$ for $\sigma \in \mathfrak{S}_n$ and $f \in K[X]$. Here, for distinct $i_1, \ldots, i_k \in \{1, \ldots, n\}$, $(i_1 i_2 i_3 \cdots i_k)$ is a permutation such that $i_1 \mapsto i_2, i_2 \mapsto i_3, \ldots, i_{k-1} \mapsto i_k, i_k \mapsto i_1$. For polynomials f_1, \ldots, f_l , we denote by $\langle f_1, \ldots, f_l \rangle$ the ideal generated by them. For an ideal *I*, we call $\{f \in K[X] \mid f^m \in I \text{ for a positive integer } m\}$ the radical of *I* and denote it by \sqrt{I} . Also, we call $I : J = \{f \mid f J \subset I\}$ and $I : J^{\infty} = \{f \mid f^m J \subset I, \text{ for a positive integer } m\}$ the quotient and the saturation of ideals *I* and *J* respectively.

2.1. Symmetric Ideal

First, we introduce a notion of symmetric ideal.

DEFINITION 2.11. For an ideal I of K[X], I is called symmetric if $\sigma(I) = I$ for any $\sigma \in \mathfrak{S}_n$, where $\sigma(I) = \{\sigma(f) \mid f \in I\}$.

EXAMPLE 2.12. $I = \langle x_1^2 - x_2^2, x_1x_2 \rangle \subset K[x_1, x_2]$ is a symmetric ideal.

REMARK 2.13. Since σ is invertible, $\sigma(I) \subset I$ implies $I \subset \sigma^{-1}(I)$. Thus, the condition $\sigma(I) = I$ can be replaced by $\sigma(I) \subset I$.

We generalize the term "symmetric ideal" and consider an action by a subgroup of \mathfrak{S}_n on *I*.

DEFINITION 2.14. For an ideal I of K[X] and a subgroup G of \mathfrak{S}_n , I is called G-invariant if $\sigma(I) = I$ for any $\sigma \in G$.

EXAMPLE 2.15. For $G = \{(1), (123), (132)\} \subset \mathfrak{S}_3$ and $I = \langle x_1x_2, x_2x_3, x_3x_1 \rangle \subset K[x_1, x_2, x_3]$, *I* is a *G*-invariant ideal.

REMARK 2.16. Here, symmetric ideals are not necessarily generated from symmetric polynomials. For example, $I = \langle x - y \rangle$ is generated by non-symmetric polynomial x - y but it is a symmetric ideal.

Next, we recall a definition of primary decomposition and prime divisors of an ideal as follows.

DEFINITION 2.17. Let I be a proper ideal of K[X]. A set $\{Q_1, \ldots, Q_k\}$ of primary ideals is called a primary decomposition of I if

$$I=Q_1\cap\cdots\cap Q_k.$$

A primary decomposition $\{Q_1, \ldots, Q_k\}$ of I is called minimal or irredundant if $\sqrt{Q_i} \neq \sqrt{Q_j}$ for any pair (i, j) with $i \neq j$ and $Q_i \not\supseteq \bigcap_{j \neq i} Q_j$ for any i. Each Q_i is called a $(\sqrt{Q_i})$ -primary component of I and $\sqrt{Q_i}$ is called a prime divisor or an associated prime of I.

We denote by Ass(*I*) the set of prime divisors of *I* i.e. Ass(*I*) = { $\sqrt{Q_1}, ..., \sqrt{Q_k}$ } for a minimal primary decomposition { $Q_1, ..., Q_k$ } of *I*. In the set of prime divisors, prime divisors which are minimal under set inclusion are called *isolated*, others are called *embedded* respectively.

REMARK 2.18. A minimal primary decomposition of I is not always unique in general. For instance, $I = \langle x_1^2, x_1 x_2 \rangle$ has infinitely many primary decompositions of type $\{\langle x_1 \rangle, \langle x_1^2, x_1 x_2, x_2^m \rangle\}$ for any positive integer m. In Section 3, we define the set of all P-primary components with respect to a prime divisor P to avoid the non-uniqueness of primary decompositions.

2.2. Criteria for Symmetric Ideal

In order to check whether a given ideal is symmetric or not, one can utilize a Gröbner basis of the ideal. For permutations $\sigma_1, \ldots, \sigma_l$ of \mathfrak{S}_n , we denote by $\langle \langle \sigma_1, \ldots, \sigma_l \rangle \rangle$ the subgroup generated by them.

LEMMA 2.21. Let $I = \langle f_1, ..., f_k \rangle$ be an ideal of K[X] and G a subgroup of \mathfrak{S}_n . Then, I is G-invariant if and only if $\sigma(f_i) \in I$ for any $i \in \{1, ..., k\}$ and $\sigma \in G$. In particular, one can check whether a given ideal I is G-invariant or not.

Proof. If *I* is *G*-invariant, then it is obvious that $\sigma(f_i) \in I$ for any *i* and $\sigma \in G$. Suppose that $\sigma(f_i) \in I$ for any *i* and $\sigma \in G$. Then, for $f \in I$, there exist $h_1, \ldots, h_k \in K[X]$ such that $f = h_1 f_1 + \cdots + h_k f_k$. Since $\sigma(f) = \sigma(h_1)\sigma(f_1) + \cdots + \sigma(h_k)\sigma(f_k) \in \langle \sigma(f_1), \ldots, \sigma(f_k) \rangle \subset I$, we obtain that $\sigma(I) \subset I$ and *I* is *G*-invariant by Remark 2.13. In order to check whether $f \in I$ or $f \notin I$, one can use a Gröbner basis of *I* with respect to a monomial ordering on K[X].

One can check if I is G-invariant or not more easily by using a generating set of G as follows.

LEMMA 2.22. Let $I = \langle f_1, \ldots, f_k \rangle$ be an ideal of K[X] and G be a subgroup of \mathfrak{S}_n . If G is generated by $\sigma_1, \ldots, \sigma_l$ then I is G-invariant if and only if $\sigma_j(f_i) \in I$ for any $i \in \{1, \ldots, k\}$ and $j \in \{1, \ldots, l\}$.

Proof. It is obvious that $\sigma_j(f_i) \in I$ if I is G-invariant. Suppose that $\sigma_j(f_i) \in I$ for any $i \in \{1, \ldots, k\}$ and $j \in \{1, \ldots, l\}$. For $\sigma \in G$, there exist $\sigma_{i_1}, \ldots, \sigma_{i_m}$ $(1 \leq i_1, \ldots, i_m \leq l)$ such that $\sigma = \sigma_{i_1} \cdots \sigma_{i_m}$. Thus, for any $\sigma \in G$ and $f \in I$, it follows that $\sigma(f) = \sigma_{i_1}(\cdots (\sigma_{i_m}(f))) \in I$ and $\sigma(I) \subset I$.

EXAMPLE 2.23. Since \mathfrak{S}_n is generated by (12) and (123...n), I is symmetric if and only if (12)(I) = I and (123...n)(I) = I.

Finally, we obtain a decision algorithm for G-invariant ideals as Algorithm 1.

Algorithm 1 ISINVARIANTIDEAL

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Input: \{f_1, \ldots, f_k\}: a set of polynomials. \sigma_1, \ldots, \sigma_l: permutations of \mathfrak{S}_n

Output: 1 if \langle f_1, \ldots, f_k \rangle is \langle \langle \sigma_1, \ldots, \sigma_l \rangle \rangle-invariant; 0 otherwise

for i = 1 to k do

for j = 1 to l do

if \sigma_j(f_i) \notin I then

return 0

end if

end for

return 1
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3. Primary Decomposition of Symmetric Ideals

In this section, we reveal a symmetric structure in primary divisors of a symmetric ideal. We remark that $\sigma \in \mathfrak{S}_n$ is an automorphism of K[X], and thus P is a prime ideal if and only if $\sigma(P)$ is a prime ideal. Also, Q is a primary ideal if and only if $\sigma(Q)$ is a primary ideal. Similarly, other algebraic property of an ideal I holds for $\sigma(I)$. In addition, σ is commutative with many ideal operations, for example, $\sigma(I \cap J) = \sigma(I) \cap \sigma(J)$ and $\sigma(I + J) = \sigma(I) + \sigma(J)$.

3.1. Group Action on Primary Components

First, we show that primary decomposition is commutative with a group action.

LEMMA 3.11. Let I be a G-invariant ideal and $\sigma \in G$. For a primary decomposition $Q = \{Q_1, \ldots, Q_k\}$ of I, $\sigma(Q) = \{\sigma(Q_1), \ldots, \sigma(Q_k)\}$ is also a primary decomposition of I. If Q is minimal, then $\sigma(Q)$ is also minimal.

Proof. Since σ is commutative with the ideal intersection,

$$I = \sigma(I) = \sigma(Q_1) \cap \cdots \cap \sigma(Q_k).$$

Here, each $\sigma(Q_i)$ is primary and thus $\sigma(Q)$ is a primary decomposition of *I*. If Q is minimal then $\sqrt{\sigma(Q_i)} = \sigma(\sqrt{Q_i}) \neq \sigma(\sqrt{Q_j}) = \sqrt{\sigma(Q_j)}$ for any pair (i, j) with $i \neq j$ and $\sigma(Q_i) \not\supseteq \sigma\left(\bigcap_{j\neq i} Q_j\right) = \bigcap_{j\neq i} \sigma(Q_j)$ for any *i*. Thus, $\sigma(Q)$ is also minimal.

Next, we prove that the set of prime divisors of a symmetric ideal has also a symmetric structure.

PROPOSITION 3.12. Let I be a G-invariant ideal and $Ass(I) = \{P_1, \ldots, P_k\}$. Then, G acts on Ass(I) by $\sigma(P_i)$ for $\sigma \in G$ and $P_i \in Ass(I)$.

Proof. It is enough to show that $\sigma(P_i) \in \operatorname{Ass}(I)$ for any $\sigma \in G$. For a minimal primary decomposition $\{Q_1, \ldots, Q_k\}$ of $I, \{\sigma(Q_1), \ldots, \sigma(Q_k)\}$ is also a minimal primary decomposition of $\sigma(I)$ by Lemma 3.11 and thus $\sigma(P_i) \in \operatorname{Ass}(\sigma(I))$. Since $\sigma(I) = I$ for any $\sigma \in G$, we obtain $\sigma(P_i) \in \operatorname{Ass}(\sigma(I)) = \operatorname{Ass}(I)$.

EXAMPLE 3.13. Let $I = \langle x_1x_2, x_2x_3, x_3x_1 \rangle \subset \mathbb{Q}[x_1, x_2, x_3]$ and $G = \langle \langle (1 \ 2 \ 3) \rangle \rangle$. Then, I is G-invariant and $I = \langle x_1, x_2 \rangle \cap \langle x_2, x_3 \rangle \cap \langle x_3, x_1 \rangle$ i.e. Ass $(I) = \{\langle x_1, x_2 \rangle, \langle x_2, x_3 \rangle, \langle x_3, x_1 \rangle\} = \{P_1, P_2, P_3\}$. Here, for $\sigma = (1 \ 2 \ 3)$, we obtain that $\sigma(P_1) = P_2$, $\sigma(P_2) = P_3$ and $\sigma(P_3) = P_1$.

REMARK 3.14. In general, $\mathcal{Q} = \sigma(\mathcal{Q})$ is not always true. For example, $I = \langle x_1 + x_2 \rangle \cap \langle x_2^3 + x_2 + 1, (x_1 + x_2)^2 \rangle \cap \langle x_2^3 + x_2 - 1, (x_1 + x_2)^2 \rangle$ is a symmetric ideal and has a minimal primary decomposition $\mathcal{Q} = \{\langle x_1 + x_2 \rangle, \langle x_2^3 + x_2 + 1, (x_1 + x_2)^2 \rangle, \langle x_2^3 + x_2 - 1, (x_1 + x_2)^2 \rangle\}$. However, $\mathcal{Q} \neq \sigma(\mathcal{Q})$ for $\sigma = (12)$. Indeed, $\sigma(\mathcal{Q}) = \{\langle x_2 + x_1 \rangle, \langle x_1^3 + x_1 + 1, (x_2 + x_1)^2 \rangle, \langle x_1^3 + x_1 - 1, (x_2 + x_1)^2 \rangle\}$ and $\langle x_1^3 + x_1 + 1, (x_2 + x_1)^2 \rangle \in \sigma(\mathcal{Q})$ is not in \mathcal{Q} .

In Remark 3.14, we see that G does not always act on a primary decomposition of a G-invariant ideal I. Thus, we extend the notion of the primary decomposition as follows.

DEFINITION 3.15. Let P be a prime divisor of I. We call the set of all P-primary components of I the class of a (P-)primary component of I and denote it by $Q_P[I]$. We call the set of all classes of primary components $\{Q_P[I] | P \in Ass(I)\}$ the quotient set of primary components of I and denote it by Q[I].

EXAMPLE 3.16. Let $I = \langle x_1^2, x_1 x_2 \rangle \subset \mathbb{Q}[x_1, x_2]$. Then, Ass $(I) = \{\langle x_1 \rangle, \langle x_1, x_2 \rangle\}$ = $\{P_1, P_2\}$. Here, $\mathcal{Q}_{P_1}[I] = \{\langle x_1 \rangle\}$ and $\mathcal{Q}_{P_2}[I]$ contains $\langle x_1, x_1 x_2, x_2^m \rangle$. In general, it is very difficult to express the elements of $\mathcal{Q}_P[I]$ explicitly. However, it is enough to know just one element of $\mathcal{Q}_{P_i}[I]$ for each *i* for computing a primary decomposition of *I* by Proposition 3.17. Regardless of the choice of each primary component Q_P of $Q_P[I]$, the set $\{Q_P \mid P \in Ass(I)\}$ is a minimal primary decomposition. The following proposition is proved directly from Proposition 2.9 in [4].

PROPOSITION 3.17 ([4], Proposition 2.9). Let $\mathcal{Q}[I] = \{\mathcal{Q}_{P_1}[I], \dots, \mathcal{Q}_{P_k}[I]\}$ and Q_i an element of $\mathcal{Q}_{P_i}[I]$ for each $i \in \{1, \dots, k\}$. Then, $\{Q_1, \dots, Q_k\}$ is a minimal primary decomposition of I.

Finally we obtain the action on the quotient set of primary components of *I*.

THEOREM 3.18. Let I be a G-invariant ideal. Then, G acts on Q[I] by $\sigma(Q_P[I])$ for $\sigma \in G$ and $Q_P[I] \in Q[I]$.

Proof. Let *P* be a prime divisor and *Q* a *P*-primary component of *I*. Then, $\sigma(P)$ is a prime divisor and $\sigma(Q)$ is a $\sigma(P)$ -primary component of *I* by Proposition 3.12. Thus, $\sigma(Q_P[I]) = Q_{\sigma(P)}[I] \in Q[I]$.

3.2. Algorithm for Primary Decomposition of Symmetric Ideals

Here, we devise an effective algorithm specialized to symmetric ideals. For a *G*-invariant ideal, we only need to compute *l* primary components where *l* is the number of the *orbit decomposition* of *I* with respect to *G* in Definition 3.21. In particular, if *G* acts on Ass(I) transitively, then we can compute a minimal primary decomposition from just one primary component of *I* (see Example 3.23).

DEFINITION 3.21. Let ~ be an equivalent relationship between Q[I] defined by $Q_{P_i}[I] \sim Q_{P_j}[I]$ if and only if $\sigma(Q_{P_i}[I]) = Q_{P_j}[I]$ for some $\sigma \in G$. We call the set $\{C_1, \ldots, C_l\}$ of all equivalent classes of Q[I] with respect to ~ the orbit decomposition of I with respect to G.

EXAMPLE 3.22. Let $I = \langle (x_1 + 1)(x_2 + 1)(x_1 + x_2) \rangle \subset \mathbb{Q}[x_1, x_2]$. Then, I is a symmetric ideal and Ass $(I) = \{\langle x_1+1 \rangle, \langle x_2+1 \rangle, \langle x_1+x_2 \rangle\} = \{P_1, P_2, P_3\}$. Then, for $C_1 = \{Q_{P_1}[I], Q_{P_2}[I]\}$ and $C_2 = \{Q_{P_3}[I]\}$, it follows that $\{C_1, C_2\}$ is the orbit decomposition of I with respect to \mathfrak{S}_2 .

In each orbit C_i , one can compute other classes of primary components from one class of a primary component $Q_{P_i}[I]$ in C_i since $C_i = \{\sigma(Q_{P_i}[I]) \mid \sigma \in G\}$. Hence, we can compute a minimal primary decomposition from *l*-primary components Q_1, \ldots, Q_l , where each Q_i is in $Q_{P_i}[I]$ respectively. Here, Algorithm 2 is an outline of an algorithm for primary decompositions of symmetric ideals.

EXAMPLE 3.23. Let $I = cyclic(3) = \langle x_1x_2x_3 - 1, x_1x_2 + x_2x_3 + x_3x_1, x_1 + x_2 + x_3 \rangle \subset \mathbb{Q}[x_1, x_2, x_3]$ (see [1] for the definition of cyclic(n)). Then, I is a symmetric ideal. By computing a Gröbner basis of I, it follows that

$$I \cap \mathbb{Q}[x_3] = \langle x_3^3 - 1 \rangle = \langle x_3 - 1 \rangle \cap \langle x_3^2 + x_3 + 1 \rangle.$$

Then, $I = (I + \langle x_3 - 1 \rangle) \cap (I + \langle x_3^2 + x_3 + 1 \rangle)$ and we obtain a primary component

$$Q_1 = (I + \langle x_3 - 1 \rangle) = \langle x_2^2 + x_2 + 1, x_1 + x_2 + 1, x_3 - 1 \rangle$$

Algorithm 2 SYMMETRICPRIMARYDECOMPOSITION

Input: *I*: a *G*-invariant ideal of *K*[*X*]. *G*: a subgroup of \mathfrak{S}_n **Output:** a minimal primary decomposition of *I* $\mathcal{Q} = \{\}$ **while** \mathcal{Q} is not a minimal primary decomposition of *I* **do** Compute a primary component \mathcal{Q} of *I*, whose radical $\sqrt{\mathcal{Q}}$ is not in $\{\sqrt{\mathcal{Q}'} \mid \mathcal{Q'} \in \mathcal{Q}\}$ $\mathcal{Q} \leftarrow \mathcal{Q} \cup \{\sigma(\mathcal{Q}) \mid \sigma \in G\}$ **end while return** \mathcal{Q}

By acting \mathfrak{S}_3 on Q_1 , we obtain other primary components

$$Q_2 = (1 \ 2 \ 3)(Q_1) = \langle x_3^2 + x_3 + 1, x_2 + x_3 + 1, x_1 - 1 \rangle$$

$$Q_3 = (1 \ 3 \ 2)(Q_1) = \langle x_1^2 + x_1 + 1, x_3 + x_1 + 1, x_2 - 1 \rangle$$

and a minimal primary decomposition

$$I = Q_1 \cap Q_2 \cap Q_3.$$

4. Improvements for Symmetric Primary Decomposition

In this section, we devise practical techniques and propose several improvements for symmetric primary decomposition. Here, we modify Shimoyama-Yokoyama Algorithm (SY-Algorithm) [7], one of the effective algorithms for primary decomposition, by specializing in symmetric ideals. After a brief review of the SY-algorithm, we introduce a symmetric ideal version of the SY-algorithm.

4.1. Outline of Shimoyama-Yokoyama Algorithm

First, we recall an outline of SY-algorithm. For an ideal *I*, SY-algorithm uses the prime decomposition of \sqrt{I} to compute *the pseudo-primary decomposition* of *I*. In more detail, it utilizes *separators* to compute *pseudo-primary components*. An ideal *I* is called a *pseudo-primary* ideal if \sqrt{I} is a prime ideal (see Definition 2.3 in [7]).

DEFINITION 4.11 ([7], Definition 2.5 and Definition 2.8). Let I be an ideal, which is not a pseudo-primary ideal, P_1, \ldots, P_k all isolated prime divisors of I, and S_1, \ldots, S_r are finite subsets in K[X]. Each S_i is called a separator of I with respect to P_i if they satisfy the following conditions;

$$S_i \cap P_i = \emptyset$$
, and $S_i \cap P_i \neq \emptyset$ for $i \neq j$

A set of separators $\{S_1, \ldots, S_r\}$ is called a system of separators of I. For a separator S_i of I with respect to P_i and $s_i = \prod_{s \in S_i} s$, we say that $\overline{Q}_i = I : s_i^{\infty}$ is a P-pseudo-primary component of I. Also, there exists an ideal I' of K[X] such that

$$I = \overline{Q}_1 \cap \dots \cap \overline{Q}_r \cap I'$$

and this decomposition is called a pseudo-primary decomposition of I. Here, I' is called the remaining component of the pseudo-primary decomposition of I.

For a pseudo-primary component \overline{Q}_i of I, we can compute the isolated primary component Q_i of \overline{Q}_i (i.e. that of I) and an ideal Q'_i such that $\overline{Q}_1 = Q_i \cap Q'_i$ by a maximal independent set of \overline{Q}_i (see Procedure 3.3 in [7]). Thus, applying the pseudo-primary decomposition recursively for Q'_i and I', we obtain a primary decomposition Q of I. However, Q may have an unnecessary component i.e. Q is not necessarily minimal. In order to solve this problem, we use "saturated separating ideal" proposed in [5].

DEFINITION 4.12 ([5], Definition 1). Let I and Q be ideals satisfying $I \subset Q$. An ideal J is called a separating ideal for (I, Q) if $I = Q \cap (I + J)$ holds. If a separating ideal for (I, Q) satisfies $\sqrt{I : Q} = \sqrt{I + J}$ then J is called a saturated separating ideal for (I, Q).

The following proposition states that every isolated primary component of I + J is a primary component of I for a saturated separating ideal J for (I, Q).

PROPOSITION 4.13 ([5], Theorem 7). Suppose that $I = Q \cap J$ and $\sqrt{J} = \sqrt{I:Q}$ for a proper ideal J. Let Q_1, \ldots, Q_r be the set of all isolated primary components of J and set $Q' = Q \cap \bigcap_{i=1}^r Q_i$. If $I = Q' \cap J'$ and $\sqrt{J'} = \sqrt{I:Q'}$ for a proper ideal J', then any minimal associated prime of J' is a non-minimal associated prime of J.

The existence of a saturated separating ideal for (I, Q) is ensured by the following proposition.

PROPOSITION 4.14 ([5], Theorem 4). Let J be a separating ideal for (I, Q). If $f \in \sqrt{I : Q}$ then there exists a positive integer m satisfying $I = Q \cap (I + J + \langle f^m \rangle)$.

Here, Algorithm 3 is a derivative version of SY-algorithm, which outputs a minimal primary decomposition of a given ideal.

4.2. Symmetric Shimoyama-Yokoyama Algorithm

Here, we introduce an effective SY-algorithm specialized for symmetric ideals. For such specialization and *symmetric pseudo-primary decompositions*, we need to prove the computability of the followings:

- 1. a symmetric system of separators (see Proposition 4.21),
- 2. a symmetric saturated separating ideal (see Theorem 4.23).

First, we show that there exists a symmetric system of separators as follows.

PROPOSITION 4.21. Let I be a G-invariant ideal, which is not a pseudo-primary ideal. Let P_1, \ldots, P_r be isolated prime divisors. There exists a system of separators $\{S_1, \ldots, S_r\}$ such that G acts on $\{S_1, \ldots, S_r\}$.

Proof. Let $L = \{1, ..., r\}$ and S_1 be an arbitrary separator with respect to P_1 . Then, $\sigma(S_1)$ is a separator with respect to $\sigma(P_1)$ for $\sigma \in G$. Indeed, $\sigma(S_1) \cap \sigma(P_1) = \sigma(S_1 \cap P_1) = \emptyset$ and $\sigma(S_1) \cap \sigma(P_j) = \sigma(S_1 \cap P_j) \neq \emptyset$ for $j \neq 1$. Set $L_1 = L \setminus \{i \mid P_i = \sigma(P_1) \text{ for some } \sigma \in G\}$. If $L_1 \neq \emptyset$, then we pick $i_1 \in L_1$ and let S_{i_1} be an arbitrary separator with respect to P_{i_1} . Then, $\sigma(S_{i_1})$ is a separator with respect to $\sigma(P_{i_1})$ for $\sigma \in G$. Inductively, for a positive integer $j \geq 2$, we define $L_j = L_{j-1} \setminus \{i \mid P_i = \sigma(P_{i_{j-1}}) \text{ for some } \sigma \in G\}$ and pick $i_j \in L_j$ and a separator S_{i_j} with respect to P_{i_j} until $L_1 \cup \cdots \cup L_N = L$ at

Algorithm 3 SHIMOYAMA-YOKOYAMA (SY)

Input: *I*: an ideal of K[X]**Output:** a minimal primary decomposition of *I* 1: $Q = \{\}$ 2: $P_1, \ldots, P_r \leftarrow$ isolated prime divisors of I 3: $\{S_1, \ldots, S_r\} \leftarrow$ a system of separators of *I* 4: **for** i = 1 to r **do** $\frac{s_i \leftarrow \prod_{s \in S_i} s}{\overline{Q}_i \leftarrow I : s_i^{\infty}}$ 5: 6: 7: end for 8: for i = 1 to r do $Q \leftarrow$ the isolated primary component of \overline{Q}_i 9: $J_1 \leftarrow$ a saturated separating ideal for $(\overline{Q_i}, Q)$ 10: if $I + J_1 \neq K[X]$ then 11: $\mathcal{Q} \leftarrow \mathcal{Q} \cup \mathrm{SY}(I+J_1)$ 12: end if 13: 14: end for 15: $J_2 \leftarrow$ a saturated separating ideal for $(I, \bigcap_{i=1}^r \overline{Q}_i)$ 16: if $I + J_2 \neq K[X]$ then $\mathcal{Q} \leftarrow \mathcal{Q} \cup \mathrm{SY}(I+J_2)$ 17: 18: end if 19: return Q

some positive integer N. It follows that $\{\sigma(P_{i_k}) \mid \sigma \in G, k = 1, ..., N\}$ is a system of separators of I and G acts on it.

We call $\{S_1, \ldots, S_r\}$ in Proposition 4.21 *a G-invariant system of separators* of *I*. For a symmetric ideal, its pseudo-primary component can be divided into two types as follows.

THEOREM 4.22. Let P_1, \ldots, P_r be isolated prime divisor of a *G*-invariant ideal *I* and $\{S_1, \ldots, S_r\}$ a *G*-invariant system of separators of *I*. Then, for each pseudo-primary component \overline{Q}_i with respect to S_i , it satisfies either one of the following conditions.

- 1. \overline{Q}_i is G-invariant
- 2. \overline{Q}_i does not have any G-invariant primary components.

Proof. Fix *i*. For $\sigma \in G$, $\sigma(\overline{Q}_i) = \sigma(I : s_i^{\infty}) = I : \sigma(s_i)^{\infty}$. Since $\{S_1, \ldots, S_r\}$ is a *G*-invariant system of separators of I, $\sigma(\overline{Q}_i)$ is also a pseudo-primary component of *I*. If P_i is *G*-invariant, then \overline{Q}_i is also *G*-invariant. Otherwise, there exists $j \neq i$ such that $\sigma(\overline{Q}_i) = \overline{Q}_j$. In this case, for any primary component Q of \overline{Q}_i , $\sigma(Q)$ is a primary component of \overline{Q}_j . Since \overline{Q}_i and \overline{Q}_j do not have any common primary components, $Q \neq \sigma(Q)$. Therefore, \overline{Q}_i does not have any *G*-invariant primary components.

Next, we can take a symmetric saturated separating ideal for (I, Q) as follows.

THEOREM 4.23. Let I and Q be G-invariant ideals with $I \subset Q$. Let J be a G-invariant ideal and a separating ideal for (I, Q). There exist a non-negative integer l and $f_1, \ldots, f_l \in K[X]$ such that

- 1. $I = Q \cap (I + J + \langle f_1, \dots, f_l \rangle),$
- 2. $\langle f_1, \ldots, f_l \rangle$ is a *G*-invariant ideal,
- 3. $J + \langle f_1, \ldots, f_l \rangle$ is a saturated separating ideal for (I, Q).

Proof. If J is a saturated separating ideal for (I, Q), then l = 0 satisfies (1) - (3). Suppose J is not a saturated separating ideal for (I, Q). Then we can take $g_1 \in (I : Q) \setminus \sqrt{I + J}$. By Proposition 4.14, there exists a positive integer m_1 such that $I = Q \cap (I + J + \langle g_1^{m_1} \rangle)$. Since both I : Q and $\sqrt{I + J}$ are G-invariant, $\sigma(g_1) \in (I : Q) \setminus \sqrt{I + J}$ for any $\sigma \in G$. Thus, there exists a positive integer m_{σ_1} for some $\sigma_1 \in G \setminus \{(1)\}$ such that $I = Q \cap (I + J + \langle g_1^{m_1}, \sigma(g_1^{m_{\sigma_1}}) \rangle)$. Repeatedly, there exist positive integers m_{σ} ($\sigma \in G$) such that $I = Q \cap (I + J + \langle \sigma(g_1^{m_{\sigma_1}}) \rangle)$. Repeatedly, there exist positive integers m_{σ} ($\sigma \in G$) such that $I = Q \cap (I + J + \langle \sigma(g_1^{m_{\sigma_1}}) \mid \sigma \in G \rangle)$ with $m_{(1)} = m_1$. Letting $M_1 = \max\{m_{\sigma} \mid \sigma \in G\}$, we obtain $I = Q \cap (I + J + \langle \sigma(g_1)^{M_1} \mid \sigma \in G \rangle)$. Here, $F_1 = \{\sigma(g_1)^{M_1} \mid \sigma \in G\}$ satisfies (1) and (2). If F_1 does not satisfy (3), then we can take $g_2 \in (I : Q) \setminus \sqrt{I + J}$ and set M_2 repeatedly. As K[X] is Noetherian, there exists a positive integer N such that $J + \langle \sigma(g_1)^{M_1} \mid \sigma \in G \rangle + \cdots + \langle \sigma(g_N)^{M_N} \mid \sigma \in G \rangle$ is a saturated separating ideal for (I, Q).

For an ideal *I*, we say that *I* is *completely anti-symmetric* if *I* does not have any symmetric primary components. In the symmetric pseudo-primary decomposition $I = \overline{Q}_1 \cap \cdots \cap \overline{Q}_r \cap I'$ of *I*, there are 3-type of components by Theorem 4.22 and Theorem 4.23:

- 1. symmetric pseudo-primary component \overline{Q} ; in this case we apply our symmetric decomposition to \overline{Q} recursively.
- 2. completely anti-symmetric pseudo-primary component \overline{Q} ; in this case we apply an ordinary primary decomposition algorithm to \overline{Q}
- 3. symmetric remaining component I'; in this case we apply our symmetric decomposition to I' recursively.

Finally, we obtain the symmetric Shimoyama-Yokoyama Algorithm as Algorithm 4. The following proposition ensures its termination and correctness.

PROPOSITION 4.24. Algorithm 4 terminates in finitely many steps and outputs a minimal primary decomposition of I.

Proof. The termination is proved in a similar way to that of Shimoyama-Yokoyama algorithm (Theorem 3.2 in [7]). Thus, it is enough to prove the correctness of Algorithm 4. Let Q be the output for I and G. The element Q of Q is in one of the following cases:

- 1. *Q* is the isolated primary component of Q_i (line 11)
- 2. *Q* is a primary component of $I + J_1$ (line 15)
- 3. *Q* is equal to $\sigma(Q')$ for a primary component Q' of \overline{Q}_i and $\sigma \in G$ (line 19)
- 4. *Q* is a primary component of $I + J_2$ (line 24)

In the case (1), since $\overline{Q_i}$ is a pseudo primary component of I, Q is an isolated primary component of I. In the case (2), as J_1 is a G-invariant saturated separating ideal for $(\overline{Q_i}, Q)$, Q is a primary component of both I and $I + J_1$. In the case (3), since I is *G*-invariant, $\sigma(Q')$ is a primary component of *I*. In the case (4), as J_2 is a *G*-invariant saturated separating ideal for $(I, \bigcap_{i=1}^{r} \overline{Q}_i)$, *Q* is a primary component of both *I* and $I + J_2$. Therefore, *Q* is a primary component in any cases and thus *Q* is a primary decomposition of *I*. The minimality of *Q* follows from Theorem 4.23.

In Algorithm 4, SY is an ordinal primary decomposition algorithm (Algorithm 3) based on Shimoyama-Yokoyama Algorithm.

Algorithm 4 SYMMETRICSY

Input: *I*: a *G*-invariant ideal of K[X]. *G*: a subgroup of \mathfrak{S}_n **Output:** a minimal primary decomposition of *I* 1: $Q = \{\}$ 2: $P_1, \ldots, P_r \leftarrow$ isolated prime divisors of I 3: $\{S_1, \ldots, S_r\} \leftarrow$ a *G*-invariant system of separators of *I* 4: for i = 1 to r do $s_i \leftarrow \prod_{s \in S_i} s$ 5: $\overline{Q}_i \leftarrow I : s_i^{\infty}$ 6: 7: end for 8: $C_1, \ldots, C_l \leftarrow$ the orbit decomposition of $\{\overline{Q}_1, \ldots, \overline{Q}_r\}$ with respect to G 9: **for** i = 1 to l **do** 10: if $|C_i| = 1$ then $Q \leftarrow$ the isolated primary component of $\overline{Q_i}$ for $C_i = \{\overline{Q_i}\}$ 11: $\mathcal{Q} \leftarrow \mathcal{Q} \cup \{Q\}$ 12: $J_1 \leftarrow$ a G-invariant saturated separating ideal for $(\overline{Q_i}, Q)$ 13: if $I + J_1 \neq K[X]$ then 14: $\mathcal{Q} \leftarrow \mathcal{Q} \cup \text{SymmetricSY}(I + J_1)$ 15: end if 16: 17: else Pick $\overline{Q}_i \in C_i$ and $Q_i \leftarrow SY(\overline{Q}_i)$ 18: $\mathcal{Q} \leftarrow \mathcal{Q} \cup \bigcup_{\sigma \in G} \sigma(\mathcal{Q}_i)$ 19: end if 20: 21: end for 22: $J_2 \leftarrow$ a G-invariant saturated separating ideal for $(I, \bigcap_{i=1}^r \overline{Q}_i)$ 23: **if** $I + J_2 \neq K[X]$ **then** $\mathcal{Q} \leftarrow \mathcal{Q} \cup \text{SymmetricSY}(I + J_2)$ 24: 25: end if 26: return Q

5. Experiment

In this section, we examine the effectiveness of our algorithm in a naive computational experiment. We implement our algorithm in the computer algebra system Risa/Asir [6]. Here, the author implemented SY and symSY based on Algorithm 3 and Algorithm 4

respectively. Both algorithms use the Risa/Asir package noro_pd to compute ideal quotients, saturations, and prime decompositions of radical ideals. In order to measure the effect of using symmetry, each algorithm is implemented as simple as possible. Therefore, SY and symSY may be considered slower than the Shimoyama-Yokoyama algorithm already implemented in Risa/Asir. Timings in seconds are measured on a PC with AMD Ryzen Threadripper PRO 5965WX 24-Cores and 128GB memory.

In Table 1, there are timings of SY and symSY in the ideals I_1, \ldots, I_{10} :

$$\begin{split} I_1 &= \langle (x_1 + x_2)^3 - 1, x_1 x_2 (x_1 + x_2) \rangle \subset \mathbb{Q}[x_1, x_2], \\ I_2 &= \langle \sigma(x_1^2 x_2 + x_1 x_3) \mid \sigma \in \mathfrak{S}_3 \rangle \subset \mathbb{Q}[x_1, x_2, x_3], \\ I_3 &= \langle \sigma(x_1^4 x_2 + x_1 x_3) \mid \sigma \in \langle \langle (123) \rangle \rangle \subset \mathbb{Q}[x_1, x_2, x_3] \\ \text{where } \langle \langle (123) \rangle \rangle &= \{(1), (123), (132)\}, \\ I_4 &= cyclic(4) &= \langle c_1 c_2 c_3 c_4 - 1, c_1 c_2 c_3 + c_2 c_3 c_4 + c_1 c_3 c_4 + c_1 c_2 c_4, \\ c_1 c_2 + c_2 c_3 + c_3 c_4 + c_1 c_4, c_1 + c_2 + c_3 + c_4 \rangle \subset \mathbb{Q}[c_1, c_2, c_3, c_4], \\ I_5 &= \langle (c_1 c_2 c_3 c_4 - 1)^2, (c_1 c_2 c_3 + c_2 c_3 c_4 + c_1 c_3 c_4 + c_1 c_2 c_4)^2, \\ (c_1 c_2 + c_2 c_3 + c_3 c_4 + c_1 c_4)^2, (c_1 + c_2 + c_3 + c_4)^2 \rangle \subset \mathbb{Q}[c_1, c_2, c_3, c_4], \\ I_6 &= \bigcap_{\sigma \in \mathfrak{S}_4} \sigma(\langle x_1^3 - 1, x_2^2 \rangle) \subset \mathbb{Q}[x_1, x_2, x_3, x_4], \\ I_7 &= \bigcap_{\sigma \in \mathfrak{S}_4} \sigma(\langle x_1 x_2, x_3^2 - x_4 \rangle) \subset \mathbb{Q}[x_1, x_2, x_3, x_4], \\ I_8 &= \bigcap_{\sigma \in \mathfrak{S}_5} \sigma(\langle x_1^2 - 1, x_2^3, x_3^4 \rangle) \subset \mathbb{Q}[x_1, x_2, x_3, x_4, x_5], \\ I_9 &= \bigcap_{\sigma \in \mathfrak{S}_6} \sigma(\langle x_1^2 - 1, x_2^3, x_3^4 \rangle) \subset \mathbb{Q}[x_1, x_2, x_3, x_4, x_5], \\ I_{10} &= \bigcap_{\sigma \in \mathfrak{S}_6} \sigma(\langle x_1^2 - 1, x_2^3, x_3^4 \rangle) \subset \mathbb{Q}[x_1, x_2, x_3, x_4, x_5, x_6]. \end{split}$$

An invariant group to each row ideal I_i is in the second column. The cardinality of a minimal primary decomposition of each ideal is in the third column. Also, the number of the orbit decomposition $\{C_1, \ldots, C_l\}$ of each ideal is in the fourth column. We see that the symmetric SY-algorithm is effective for each I_i compared to the ordinal SY-algorithm. While the computation time of SY increases as the number of primary components (#Q) increases, that of symSY increases slowly since it essentially requires only *l*-primary components, where *l* is the number of orbits (#Orbit). For example, I_9 has 60-primary components and SY takes 266 seconds for the computation. On the other hand, symSY computes it much faster (in 7.62 seconds) since it computes 60 primary components from 2 primary components which are in each orbit by the group action of \mathfrak{S}_5 . These results show that symSY can be effective when the input ideal has many primary components and a highly symmetric structure.

ideal	G	#Q	#Orbit	SY	symSY
I_1	\mathfrak{S}_2	4	2	0.01	0.01
I_2	\mathfrak{S}_3	7	3	0.03	0.03
I_3	$\langle \langle (123) \rangle \rangle$	15	7	0.10	0.09
I_4	$\langle \langle (1234), (13) \rangle \rangle$	8	3	0.07	0.05
I_5	$\langle \langle (1234), (13) \rangle \rangle$	8	3	0.65	0.40
I_6	\mathfrak{S}_4	24	2	2.68	0.48
I_7	\mathfrak{S}_4	24	1	796	42.2
I_8	\mathfrak{S}_5	30	1	91.7	3.58
I9	\mathfrak{S}_5	60	2	266	7.62
I_{10}	\mathfrak{S}_6	120	2	> 5 days	6377

TABLE 1. Timings of SY and symSY

6. Conclusions and Future Works

Symmetric ideals appear in various areas of mathematics. In this paper, we prove good properties of symmetric ideals in order to provide an effective algorithm for a primary decomposition of such an ideal. In the proposed algorithm, one can compute full primary components from partial ones by the group action on the ideal. For practical computations, we devise Shimoyama-Yokoyama Algorithm specialized to symmetric ideals. In a computational experiment, we examine that the specialized algorithm is faster than the ordinal one in several cases.

For future works, we plan to improve the algorithm and apply it to examples that appear in several areas e.g. statistics. For improvements, we are thinking of devising a specialized algorithm of Kawazoe-Noro algorithm [5] to compute efficiently for more types of symmetric ideals. Also, we will consider generalized group actions, for example, the general linear group GL(n, K) on K[X] by $A(f(x_1, \ldots, x_n)) = f(A(x_1, \ldots, x_n))$ for a matrix $A \in GL(n, K)$ and a polynomial $f \in K[X]$.

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